•				
Please type a	a plus sign	(+) inside this box	\longrightarrow	+ ,

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0934

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are re	equired to respond to a collection of inf	ormation unless it displays a v	ralid OMB control number.
	Application Number	10/046,542	CEN

TRANSMITTAL FORM		Filing Date	January 16, 2002	TEM	
		First Named Inventor	Wilfred Arthur Jefferies et al.	16	
(to be used for all correspondence after initial filing)		Group Art Unit	1632	1600/290	
			Examiner Name	not available	
Total Number of Pages in This Submission 5			Attorney Docket Number	7685-41	フ
		ENCL	OSURES (check all that apply)		
Fee Transmittal Fo	orm		ment Papers Application)	After Allowance Communication to Group	
Fee Attached		☐ Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences	;
Amendment / Res	ponse	Licensi	sing-related Papers Appeal Communication to (Appeal Notice, Brief, Reply)		
After Final		Petition		Proprietary Information	-
			n to Convert to a ional Application		ECH C
			of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):	
☐ Term		☐ Termin	nal Disclaimer	(20) cited prior art references	
Express Abandonment Request		Reque	st for Refund		(3)
☑ Information Disclosure Statement ☐ CD, Nu		umber of CD(s)	(i)	10	
Certified Copy of F	Priority	Rema	arks		
Response to Miss Incomplete Applic					:
Response to 1 Parts under 3 1.52 or 1.53	_				
	SIGNA	TURE OF	APPLICANT, ATTORNEY, O	R AGENT	
Firm <i>or</i> Individual name	BERESKIN & PAR	R 1			
Signature	Mix	Frou	relle		
Date	February 17, 2003				
			RTIFICATE OF MAILING		\longrightarrow
				al Service as first class mail in an envelor	Эе
addressed to: Assista	ant Commissioner for	Patents, W	/ashington, D.C. 20231 on this da	te:	
Typed or printed nam	e				
Signature				Date)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Our Reference 10.76

IN THE UNITED STATES PATENT AND TRADEMARK OFFICES

In re	Application of)	8	
Wilfr	ed Arthur Jefferies et al.) Art Group Unit: 1632	0	西异
Serial	No. 10/046,542) Examiner: Unknown	3 FEB	
Filed:	January 16, 2002))	3	ECE!
For:	Method of Enhancing an Immune Response)))	구 ::	VED 1500/29

The Commissioner of Patents & Trademarks Washington, D.C. 20231 U.S.A.

Dear Sir:

FILING OF AN INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, Applicants hereby submit an Information Disclosure Statement on Form PTO-1449 containing a listing of patents and other publications of which Applicants are aware. Applicants are also submitting the references listed on the Information Disclosure Statement.

All of the patents and publications submitted herewith are in the English language and accordingly a concise explanation of the relevance of the documents is not required.

The Examiner is requested to indicate consideration of these documents by initialling the appropriate column. Applicant reserves the right to contest the applicability of any of these documents as prior art against the subject application.

This Information Disclosure Statement is being filed within three months of the filing of the application or before the mailing date of a first Office Action on the merits; therefore, no fee is required.

If the Examiner has any questions concerning this Information Disclosure Statement, he is requested to contact the undersigned. If it is determined that this Information Disclosure Statement has been filed under the wrong rule, the Patent and Trademark Office is requested to consider this Information Disclosure Statement under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 02-2095.

If additional fees are required, please charge the fees to our Deposit Account No. 02-2095.

Respectfully submitted,

Wilfred Arthur Jefferies et al.

Micheline Gravelle Registration No. 40,261

Dated: February 17, 2003

Bereskin & Parr Box 401, 40 King Street West Toronto, Ontario Canada M5H 3Y2

(416) 364-7311 Enclosures

Form PTO-1449 (REV. 2-32)				ATTY. DOCKET NO. 7685-41	DOCKET NO. 7685-41 SERIAL NO. 10/046,542				
		TION DISCLOS		APPLICANTS: Wilfred Jeff	eries et al.				
STATEMENTBY APPLICANT (Use several sheets if necessary)			FILING DATE January 16, 2	002	GROUP 16	532			
			U.S. PA	TENT DOCUMENTS					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE		
,		·			- ·				
		1							
			FOREIGN 1	PATENT DOCUMEN	<u>rs</u>	1	<u> </u>		
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	<u>TRANS</u> YES	TRANSLATION YES NO	
	1								
		OTHER DO	OCUMENTS ((Including Author, Title, Date, Per	tinent Pages, F	itc.)			
	1.	Monaco, J.J., Imm	unology Today	, Vol. 13, No. 5, May 1992, pag	es 173-179.				
	2	Ossevoort, M.A.	et al., European	Journal of Immunology, Vol.	23, No. 12, 19	993, pages 308	32-3088		
	3.	Zweerink, H.J., et	al., Journal of I	mmunology, Vol. 150, No. 5, N	1 arch 1, 1993	993, pages 1763-1771.			
,	4.	Arnold, D. et al., Nature, Vol. 360, No. 6400, November 12, 1992, pages 171-174.							
	5.	Momburg, F. et al., Nature, Vol. 360, No. 6400, November 12, 1992, pages 174-177.							
	6.	Kelly, A. et al., Nature, Vol. 355, No. 6361, February 13, 1992, pages 641-644.							
	7.	Spies, T. et al., Nature, Vol. 355, No. 6361, February 13, 1992, pages 644-646.							
	8.	Fruh, K. et al., Journal of Biological Chemistry, Vol. 267, No. 31, November 5, 1992, pages 22131-22140.							
	9.	Yang, Y. et al., Jo	urnal of Biologi	cal Chemistry, Vol. 267, No. 1	7, June 15, 19	92, 11669-116	672.		
	10.	Jefferies, W.A. et	al., The Journal	of Immunology, Vol. 151, No. 6	6, September	15, 1993, pag	es 2974-29	85.	
	11.	Verma et al. (199	7) Nature, vol. :	389, 239-242, 1997.					
	12.	Miller et al. (1995) FASEB, vol. 9	9, 190-199, 1995.		4	70	<u> </u>	
	13.	Spies et al. (1991)	Nature, vol. 3	51, 323-324, May 1991.		EG.	7 (
	14.	Momburg et al. (1992) Nature, v	ol. 360, 174-177, Nov. 1992.		Si	8	1	
	15.	Franksson et al. (1993) J. Exp. M	ed., vol. 177, 201-205, Jan. 1993	3.	3	67	2_	
	16.	Powis et al. (1991) Nature, vol. 3	354, 528-531, Dec. 1991.	·	2	3	而_	
	17.	Bachmann et al. (1994) Current C	pin. Immunol., vol. 6, 320-327,	1994.	3		O	
	18.	Restifo et al. (1993	3) J. of Experim	ental Medicine., vol. 177, 265-2	272, Feb. 199	3.	3		
	19.	Orkin et al. (1995) Gene Therapy", D		commendations of the Panel to	Assess the N	IIH Investme	nt in Resea	irch on	
	20.	Gabathuler R. et a	al., The Journal	of Experimental Medicine, Vol	l. 180, No. 4,	October 1, 19	94, pages	1415-	
EXAMINER:				DATE CONSIDERED:					